

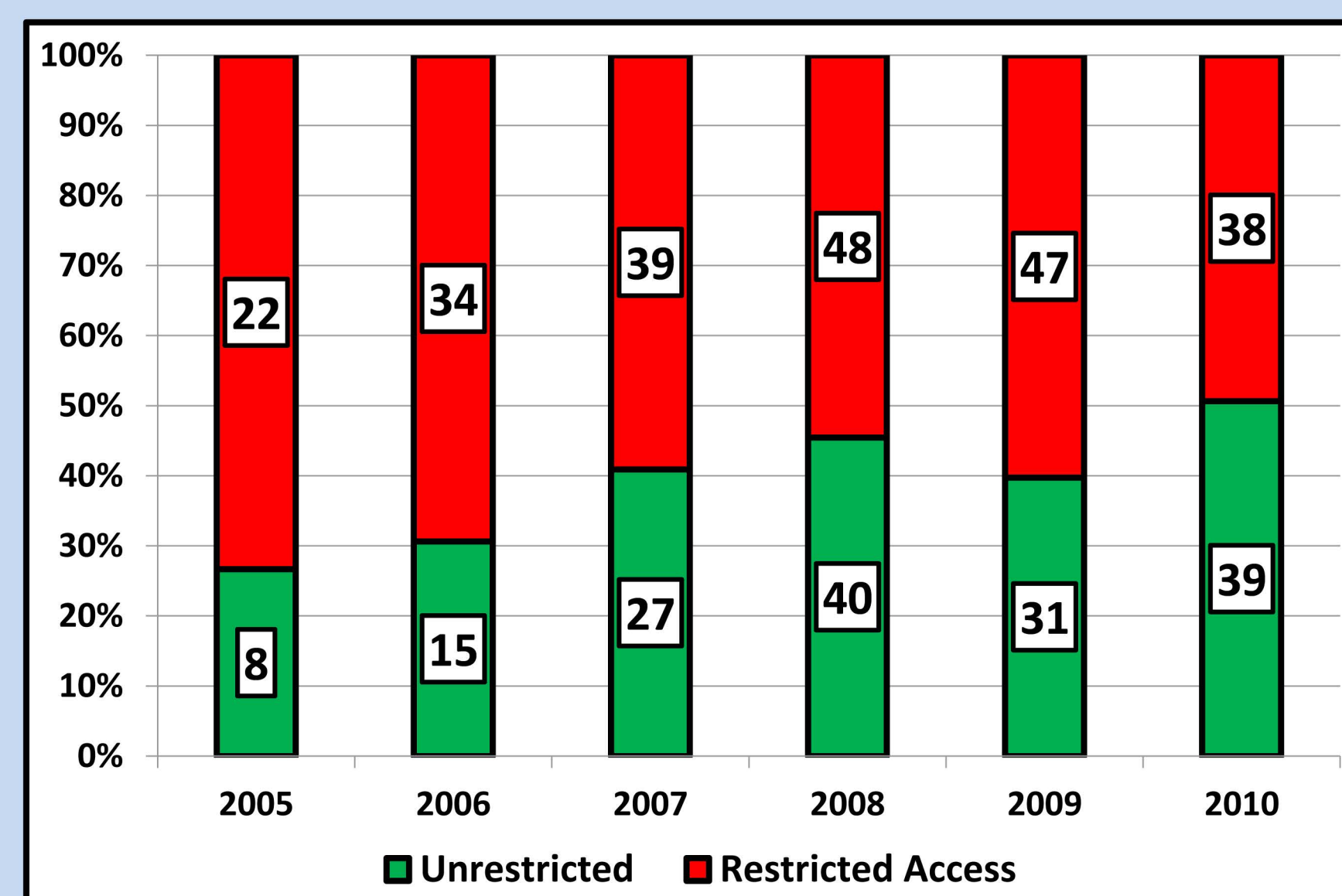
Embargo Periods and External Publication for History Dissertations

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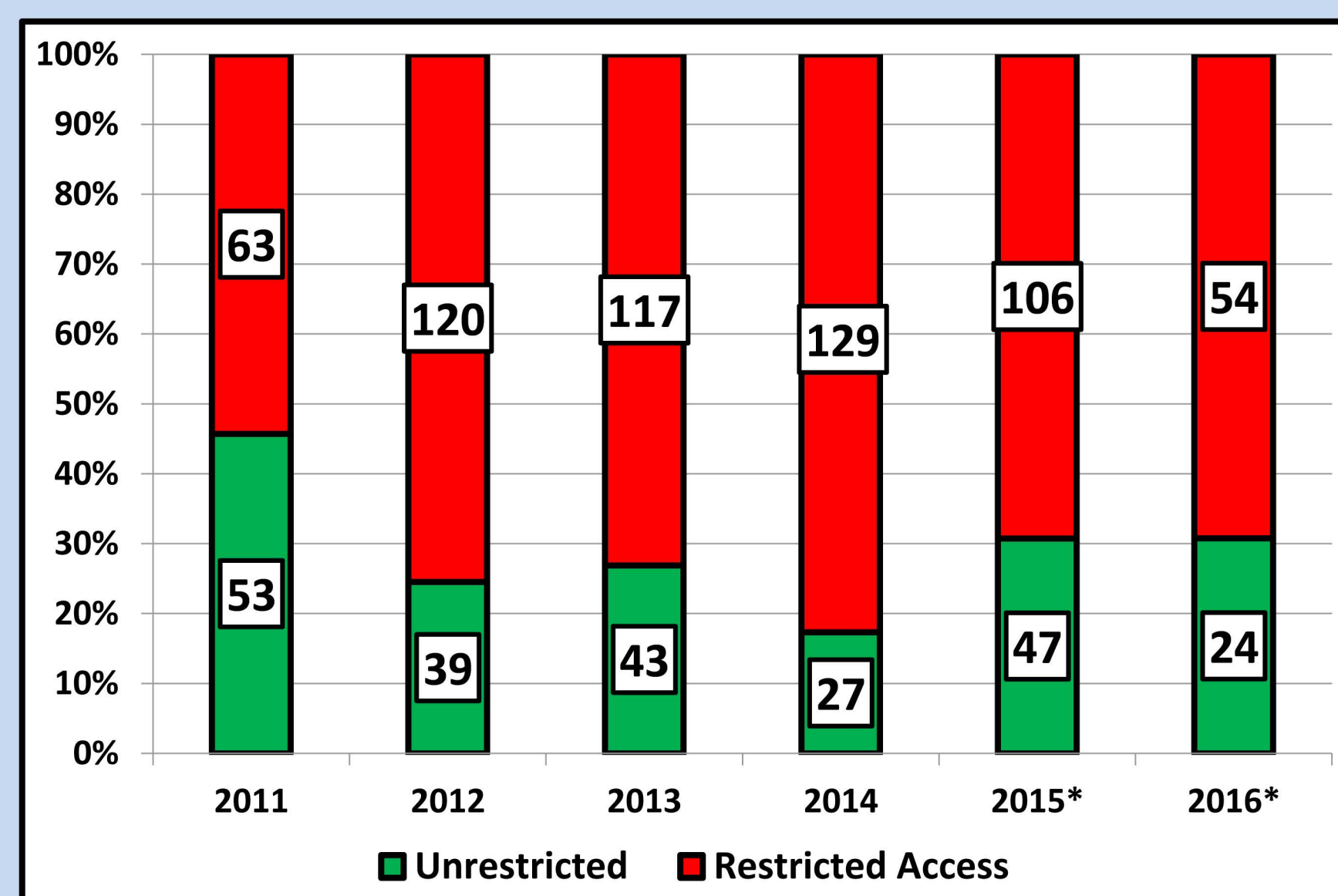
Access Restrictions

This portion of the study compared access restrictions for 2005-2016 History dissertations at 16 USA universities. Access restrictions included: Delayed upload, Campus-only access, and Blocked access.

2005-2010 dissertations



2011-2016 dissertations



Every year, a substantial number of History PhD candidates at these 16 universities selected some form of access restriction.

Least restricted: 2010, 49% restricted
Most restricted: 2014, 88% restricted (year after AHA policy was issued)

* Values for 2015 and 2016 are not final, as "delayed upload" numbers were not available as of 6-15-2017.

Publication of History Dissertations as Monographs

This portion of the study compared publication rates and time to publication for monographs based on unrestricted and restricted History dissertations, 2005-2013.

WorldCat was searched for authors' names, and monograph titles were compared to dissertation titles.

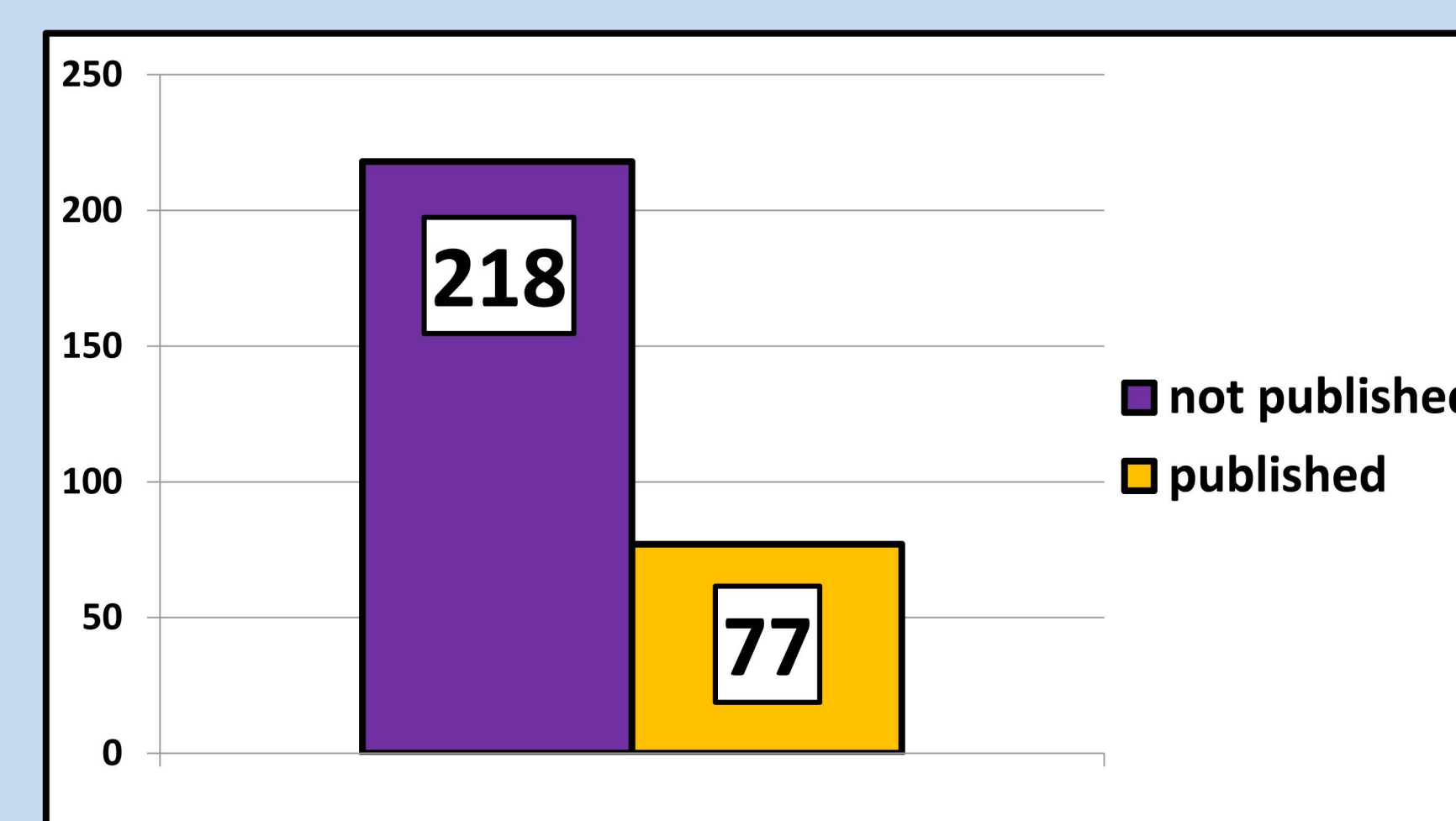
Conclusions

- During the study period, restricted access dissertations were published at a *slightly* higher rate than unrestricted dissertations.
- Times to publication were similar for both groups. In addition, both groups had a number of monographs that were published well after the 6 year restriction period that the AHA recommended.

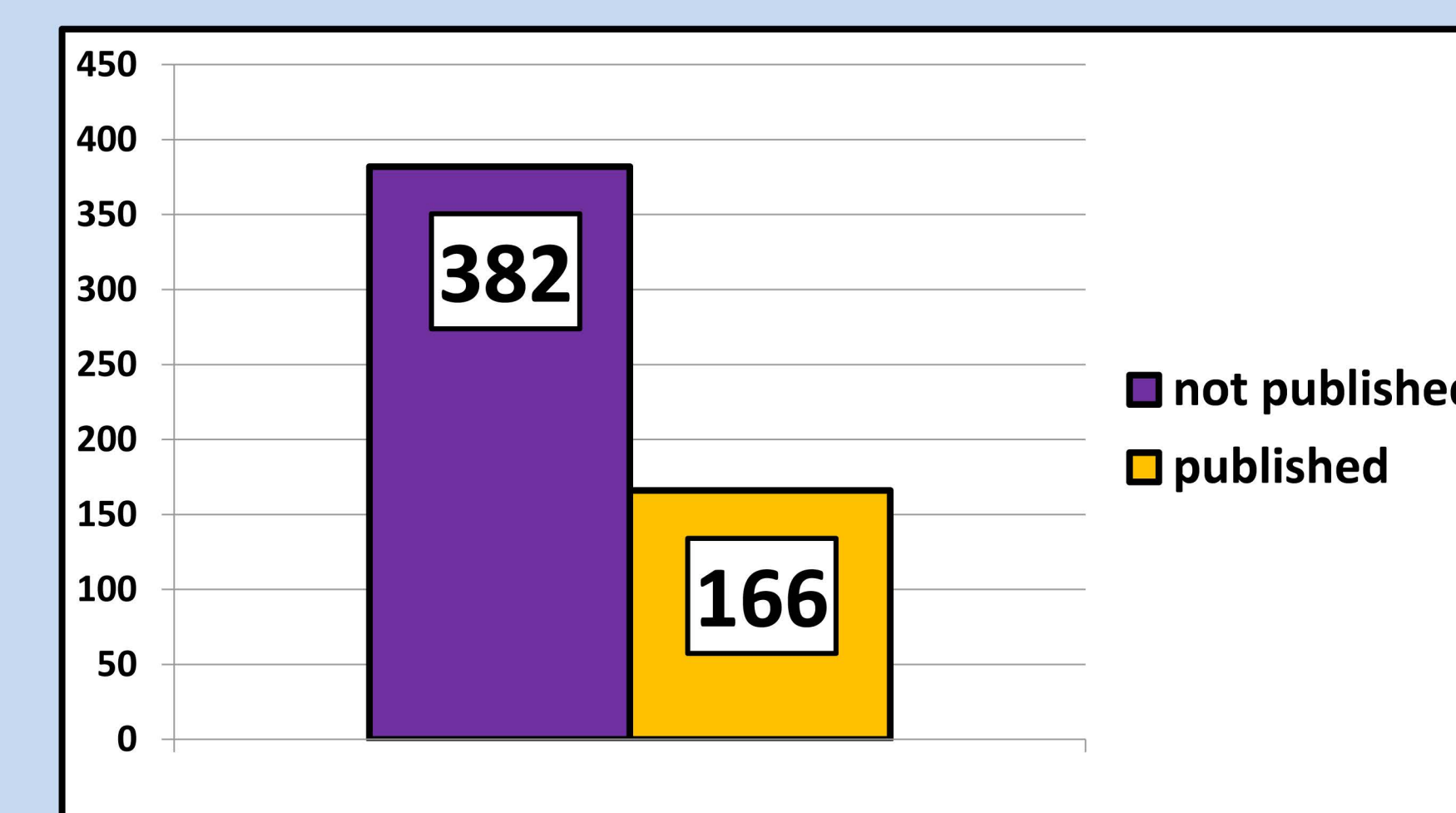
"The American Historical Association strongly encourages graduate programs and university libraries to adopt a policy that allows the embargoing of completed history PhD dissertations in digital form for as many as six years. ... [Open access dissertations are] a form of distribution that publishers consider too widespread to make revised publication in book form viable." (July 2013)

- This study compared numbers for access-restricted History dissertations at 16 USA universities in the years before and after the above policy statement was issued.
- It also compared publication numbers and time to publication for monographs based on unrestricted and restricted History dissertations from the same universities.

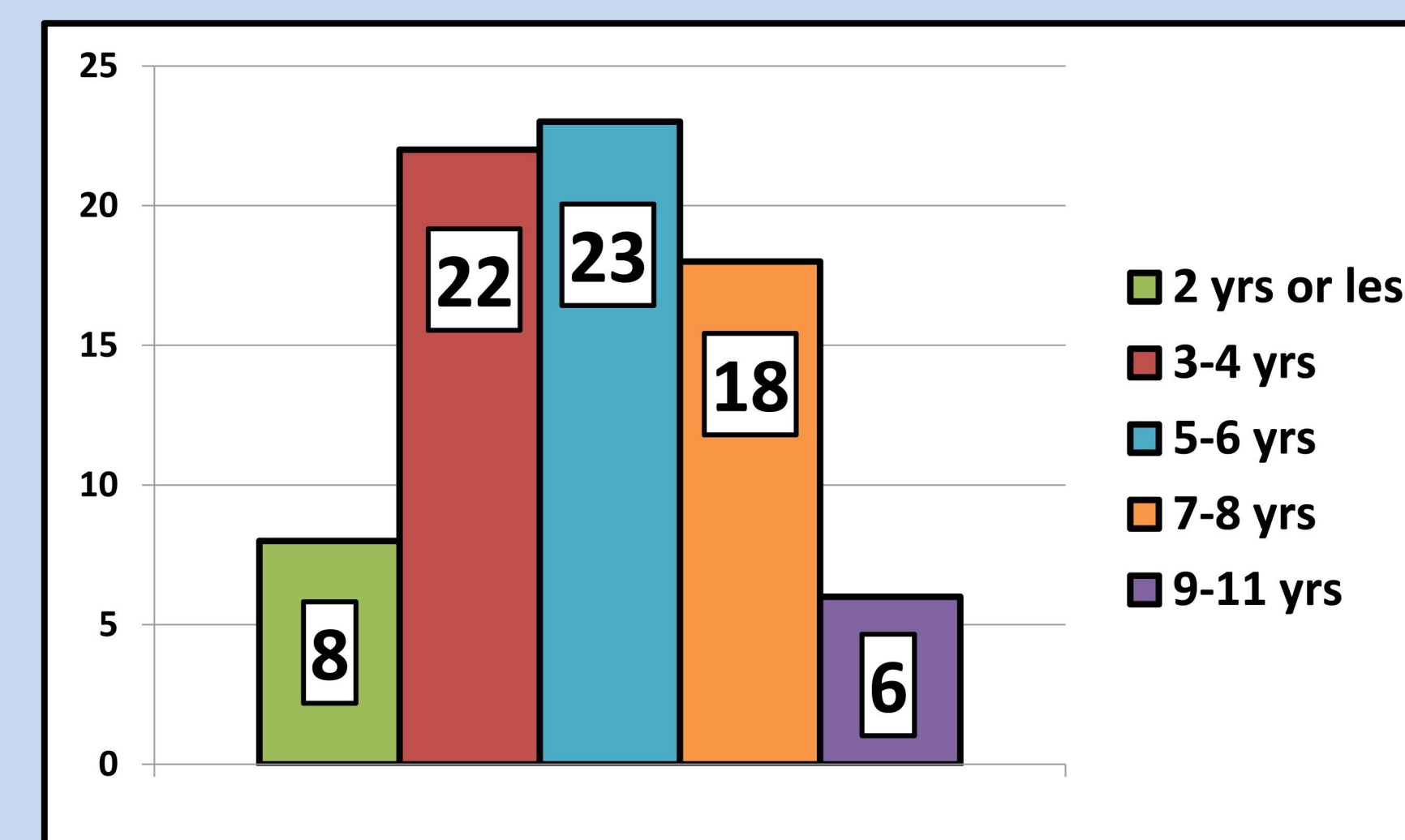
Unrestricted Dissertations



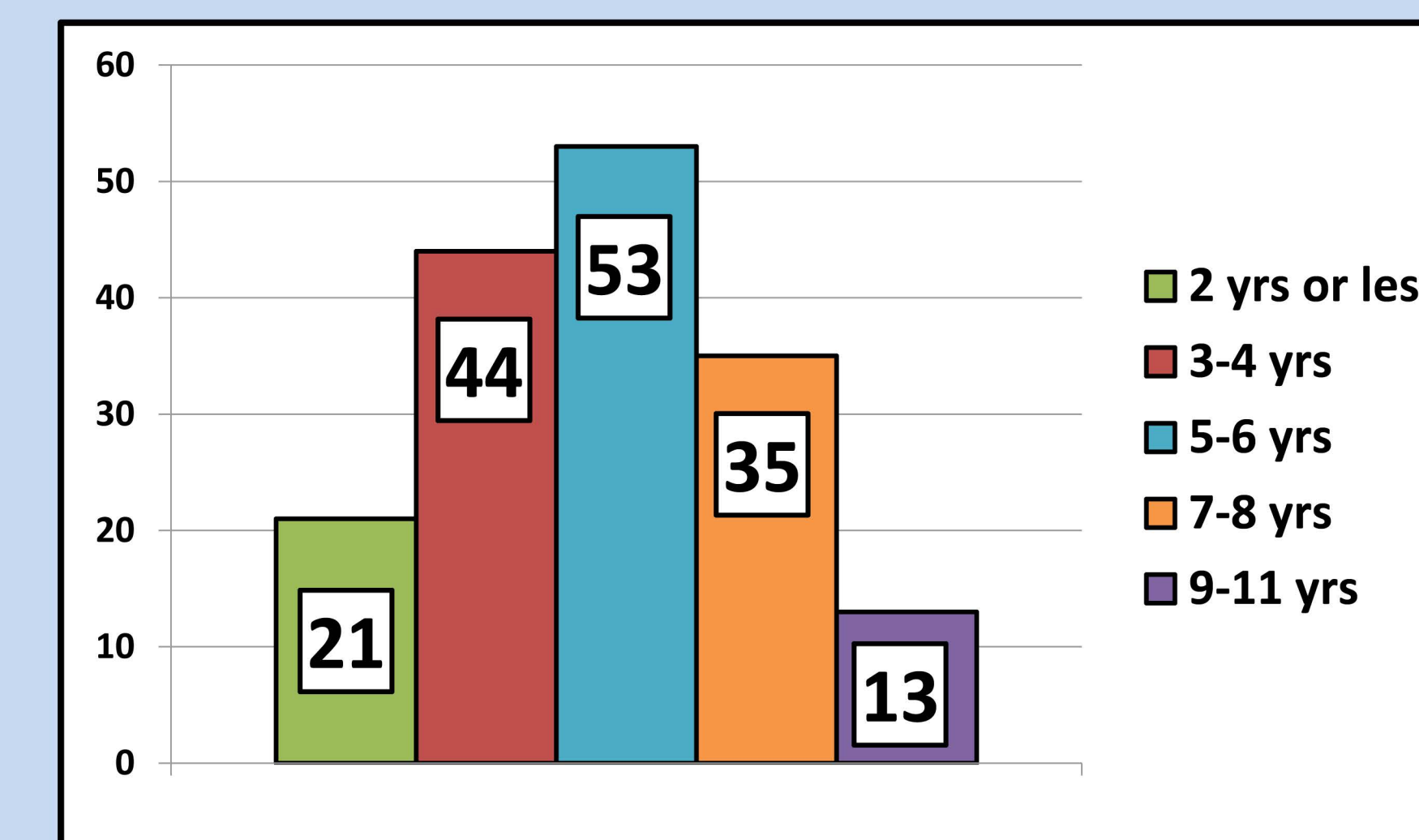
Restricted Access Dissertations



Years to monograph publication



Years to monograph publication



Unrestricted Dissertations:
As of 6-15-2017, 26% of unrestricted History dissertations (2005-2013) had been published as monographs.

Time to publication: 0-11 years

Restricted Access Dissertations:
As of 6-15-2017, 30% of embargoed History dissertations (2003-2013) had been published as monographs.

Time to publication: 0-11 years